

EXHIBIT B

CUSTOMER CLAIM

Claim Number _____

Date Received _____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

Lax & Neville, LLP
OFFICE: 212-696-1999

HOME: _____

Taxpayer I.D. Number (Social Security No.)

124-26-0989



Account Number: 1CM435 1CM450-3

ALLAN GOLDSTEIN
BEAVER POND ROAD
SPENCERTOWN, NY 12165

(If incorrect, please change)

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of **December 11, 2008**:

a. The Broker owes me a Credit (Cr.) Balance of \$ 0

b. I owe the Broker a Debit (Dr.) Balance of \$ N/A

- c. If you wish to repay the Debit Balance,
please insert the amount you wish to repay and
attach a check payable to "Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC."
If you wish to make a payment, it must be enclosed
with this claim form.

\$ 0
0

- d. If balance is zero, insert "None."

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

- | | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| a. The Broker owes me securities | <u>✓</u> | |
| b. I owe the Broker securities | | <u>✓</u> |
| c. If yes to either, please list below: | | |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
	<u>See attached November 30, 2008</u>		
	<u>Account Statement at</u>		
	<u>Exhibit A</u>		

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	_____✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____✓

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Brion J. Neville, Esq., Lax & Neville, LLP
412 Broadway, Suite 1407, New York, NY 10018

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 8/26/2009 Signature 

Date _____ Signature _____

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

**LAX
NEVILLE**

**BARRY R. LAX
BRIAN J. NEVILLE
DAVID S. RICH**

SANDRA P. ESPINOSA

**LAX & NEVILLE, LLP
ATTORNEYS AT LAW**

1412 Broadway, Suite 1407
New York, NY 10018
Tel (212) 696-1999
Fax (212) 566-4531
www.laxneville.com

EXPEDITED REQUEST

March 26, 2009

**VIA CERTIFIED MAIL
RETURNED RECEIPT REQUESTED**

Irving H. Picard
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Avenue, Suite 800
Dallas, TX 75201

RE: Allan Goldstein /Bernard L. Madoff Investment Securities LLC

Dear Mr. Picard:

This firm represents Allan Goldstein and has assisted him in preparation of his Bernard L. Madoff Investment Securities LLC ("Madoff Securities") SIPC Customer Claim Form. Enclosed herein you will find a completed Customer Claim Form for Allan Goldstein's IRA account. Additionally, below is a description of the relationship between Allan Goldstein and Madoff Securities. The statements made in this letter are true and accurate to best of our knowledge and belief, and are being provided to support Allan Goldstein's SIPC claims.

Allan Goldstein is 76 years old, and is married to Ruth Goldstein. Allan Goldstein opened an IRA account with Madoff Securities, and to the best of Allan Goldstein's recollection, he made deposits into the account in the amount of approximately \$2.2 million. Allan Goldstein

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Irving H. Picard
March 26, 2009
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took withdrawals from the account to pay for living expenses and taxes. He also took statutorily required minimum distributions for IRA accounts, starting in 2005 when he turned 71 ½ years old. Allan and Ruth Goldstein invested all of their funds with Madoff Securities and have lost it all. They were forced to sell their home and live with their daughter in California. They only have the means to pay for living expenses for a short period of time. Allan and Ruth are at the brink of being destitute. Their bank accounts are depleted, and their only current source of income is social security benefits. The funds in Allen Goldstein's account were the Goldstein's only retirement funds. The Goldstein's exemplify the severity, scope and hardship caused by the Madoff fraud. Please, any expedited determination of this claim is greatly appreciated.

Allan Goldstein received account statements and confirmations from Madoff Securities reflecting the securities purchased and showing he held securities in his IRA account. Attached hereto as Exhibit A is the Madoff Securities account statement for Allan Goldstein's IRA account, dated November 30, 2008. Based upon the account statements and the confirmations, Allan Goldstein at all times expected to have those securities in his IRA account. The balance in Allan Goldstein's IRA account as of the filing date is \$4,250,725.14. See the November 30, 2008 account statement at Exhibit A.

CONCLUSION

Allan Goldstein is seeking the full protection of SIPA for his account as follows:

- Account No: 1-CM450-3-0	Allan Goldstein IRA Account
Total = <u>\$4,250,725.14</u>	

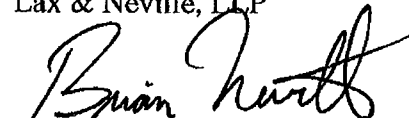
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Irving H. Picard
March 26, 2009
Page 3 of 3

If there are any questions regarding this matter or if you require additional documents and information, please do not hesitate to contact me. Thank you.

Very truly yours,
Lax & Neville, LLP

By:


Brian J. Neville

ENC.

EXHIBIT A

**885 Third Avenue ·
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061**

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York □ London

****DUPLICATE** FOR ACCOUNT NTC & CO.**
ALLAN GOLDSTEIN

45 BEAVER DAM ROAD
PO BOX 211
SPENCERTOWN

NY 12165

1-CM450-3-0

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New York, NY 10022
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Fax (212) 838-4061**

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DATE	BUYER	PRICE	QUANTITY	DESCRIPTION	SELLER	PRICE	QUANTITY	DESCRIPTION	BUYER
11/21	225,000	7688		U S TREASURY BILL DUE 03/26/2009		99.962	224,914.50		
11/21	16,486	7691		FIDELITY SPARKMAN U S TREASURY MONEY MARKET		1	16,486.00		
				SECURITY POSITIONS					
	6,663			AT&T INC		MKT PRICE			
	6,120			AMGEN INC		28.560			
	1,183			APPLE INC		55.560			
	1,001			AMGEN INC		92.670			
	5,660			AMGEN INC		16.250			
	2,025			AMGEN INC		16.250			
	6,842			CISCO SYSTEMS INC		16.560			
	5,915			CITI GROUP INC		8.290			
	2,184			SPCA COLA CO		46.870			
	1,276			CONCEPT CORP		17.380			
				CL A					
	1,638			CONDOPHILIPS		52.520			
	5,733			EXXON MOBIL CORP		80.150			
	11,597			GENERAL ELECTRIC CO		17.370			
	2,730			GOOGLE		292.960			
				HEWLETT PACKARD CO		35.280			
				CONTINUED ON PAGE 5					

DATE	BOUNDS PRICE	SYMBOL	COMPANY	PRICE	AMOUNT	AMOUNT COST
	6,279		INTEL CORP		13.800	
	1,547		INTERNATIONAL BUSINESS MACHS		81.600	
	6,095		UNITED STATES OF A CO		31.860	
	3,003		JOHNSON & JOHNSON		58.580	
	1,274		MCDONALDS CORP		58.750	
	2,366		MERCK & CO		26.720	
	8,845		MICROSOFT CORP		20.520	
	4,368		ORACLE CORPORATION		16.690	
	1,729		PEPSICO INC		56.700	
	7,373		PEIZER INC		16.430	
	2,845		PHILIP MORRIS INTERNATIONAL		62.300	
	3,276		PROCTER & GAMBLE CO		64.950	
	1,820		QUALCOMM INC		33.570	
	1,365		SCHLUMBERGER LTD		50.740	
	10,400		SPRING SPARTAN			
			U S TREASURY MONEY MARKET			
	1,911		U S BANCORP		26.980	
	1,092		UNITED PARCEL SVC INC		57.600	
			U S TREASURY BILL			
	225,000		DUE 03/26/2009		99.99%	
			3/26/2009			
	1,092		UNITED TECHNOLOGIES CORP		49.530	
	3,094		VERIZON COMMUNICATIONS		52.650	
	2,366		WAL-MART STORES INC		55.880	
			UNITED STATES OF A			

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
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ALLAN GOLDSTEIN**

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PO BOX 211
SPENCERTOWN

NY 12165

1-CN450-3-B
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11/30/08
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Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 7493 6222

DATE	ACCOUNT	DEBIT	CREDIT	BALANCE	REMARKS
3-8-22					
	WELLS FARGO & CO NEW		28.890		
	MARKET VALUE OF SECURITIES				
	LONG	4,250,725.14			
	SHORT				

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45 BEAVER DAM ROAD
PO BOX 211
SPENCERTOWN NY 12165

Affiliated with
Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel (020) 7493 6222

11/30/08
1-CH450-4-0
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DATE	BUYER	SELLER	PRICE	QUANTITY	DESCRIPTION	MARKET VALUE	MARKET VALUE
10/12					BALANCE FORWARD		262,044.00
11/12	91	46208			NOVEMBER 450 CALL S & P 100 INDEX	17.800	162,071.00
11/19	91	36638			NOVEMBER 450 PUT S & P 100 INDEX	30	273,091.00
11/19	91	45288			DECEMBER 430 CALL S & P 100 INDEX	37	336,609.00
11/19					NEW BALANCE		516,729.00
					SECURITY POSITIONS		
					NOVEMBER 450 CALL S & P 100 INDEX	16.500	
					DECEMBER 430 CALL S & P 100 INDEX		
					MARKET VALUE OF SECURITIES		
					LONG		150,150.00
					SHORT		212,930.00